

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re. Application of: Francis J. Kronzer )

Group Art Unit: 1771 )

Serial No: 09/614,829 )

Examiner: Norca Liz Torres-Velazquez )

Filed: July 12, 2000 )

Our Account No: 04-1403 )

Confirmation No: 3150 )

Customer No: 22827 )

Title: Heat Transfer Material Having Meltable Layers )  
Separated By A Release Coating Layer )Commissioner for Patents  
U.S. Patent and Trademark Office  
Post Office Box 1450  
Alexandria, VA 22313-1450

Sir:

The following is an Information Disclosure Statement for the captioned patent application, pursuant to 37 CFR Sections 1.56, 1.97, and 1.98.

1.[x] Attached hereto is:

- a.[x] A list of materials for consideration per Rule 98(a)(1): 4 page(s)
- b.[x] A legible copy of each patent, publication, or other item listed per Rule 98(1)(2), unless not required per Rule 98 and/or as indicated on the attached list(s):  
115 item(s)
- c.[ ] For each non-English language item listed, pursuant to Rule 98(a)(3), a concise explanation of the relevance thereof as it is presently understood by the individual designated in Rule 56(c) most knowledgeable about the content of such items: \_\_\_\_\_

[ ] Such explanation is provided in the Search Report from a corresponding application enclosed herewith along with any enclosed translation into English.

2.[x] This Information Disclosure Statement is being filed [CHECK ONE]:

- a.[x] WITHIN THREE MONTHS of the application filing date, national stage date of entry, or along with or after a request for continued examination, OR BEFORE the mailing date of a first Office Action on the merits, which ever event occurs last, WHEREFORE per Rule 97(b) NO filing fee or Rule 97(e) certificate is required.
- b.[ ] AFTER the time periods of section 2.a above, but BEFORE a Final Action, Notice of Allowance OR an action that otherwise closes prosecution, WHEREFORE PER Rule 97(c) submitted herewith is [CHECK ONE]:
- i.[ ] Certification per Rule 97(e); OR
- ii[ ] Filing Fee per Rule 17(p) .....\$180.00
- c.[ ] AFTER a Final Action OR Notice of Allowance, but BEFORE payment of the issue fee, WHEREFORE per Rule 97(d) submitted herewith is:
- i. Certification per Rule 97(e); AND
- ii. Filing fee per Rule 17(p) .....\$180.00

3.[ ] Rule 97(e) Certification; per Rule 97(e), the undersigned certifying party make the following certification statement [CHECK ONE]:

- a.[ ] That each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement; OR
- b.[ ] That no item of information contained in this Information Disclosure Statement was cited in a foreign patent office in a counterpart foreign application and to the knowledge of the undersigned after making a reasonable

inquiry, was known to any individual designated in Rule 56(c) more than three months prior to the filing of this statement.

CERTIFYING PARTY (if different from bottom signature; omission here indicates that certification is being made by signer per signature below).

Name: \_\_\_\_\_

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- 4.[x] DEPOSIT ACCOUNT AUTHORIZATION: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any fees in addition to the fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (deficiency only) now or hereafter relative to this application and the resulting official document under Rule 20, or credit any overpayment, to our Account No. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This statement does not authorize charge of the issue fee in this case.

- 5.[x] CERTIFICATE OF MAILING: This Information Disclosure Statement is being filed pursuant to [CHECK AND COMPLETE ONE]:

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Sandra S. Perkins

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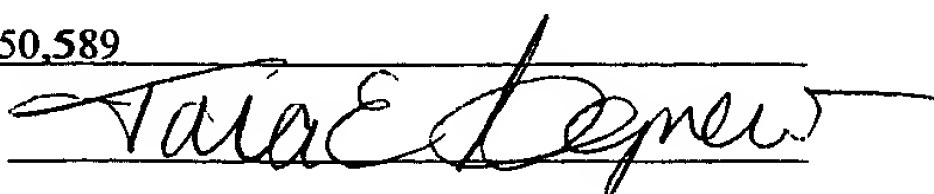
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By: Tara E. Agnew

Reg. No: 50,589

Signature: 

Date: October 28, 2004



<b>Information Disclosure Statement List</b>  By Applicant(s)  Under 37 CFR Section 1.98(a) (1)  (Use several sheets if necessary)	Attorney Docket Number:  KCX-680 (15049)	Serial Number:  09/614,829
	Applicant:  Francis J. Kronzer	
	Filing Date:  July 12, 2000  Confirmation No:  3150	Group Art Unit:  1771

- NOTE: If no indication is made in the column marked "COPY NOTE," the required legible copy of the corresponding item is submitted herewith; otherwise, a copy is not required and/or not submitted, for the following reason(s) [corresponding reason number is listed in "COPY NOTE" column]
- (1) This item is cumulative, per Rule 98(c)
  - (2) A copy of this item was previously cited by or submitted to the U.S. Patent and Trademark Office in:  
USSN \_\_\_\_\_, filed \_\_\_\_\_, or  
USSN \_\_\_\_\_, filed \_\_\_\_\_;  
Relied on under 35 U.S.C. Section 120, per Rule 98(d)
  - (3) Both reasons (1) and (2) apply
  - (4) No legible complete copy is possessed, in custody of controlled, or readily available
  - (5) Per the U.S. Patent and Trademark Office's waiver of Rule 98(a)(2)(i), the item is a U.S. patent or patent application publication, and the present application was filed after June 30, 2003.

U.S. PATENT DOCUMENTS											
EXAMINER INITIALS		PATENTEE NAME	PATENT NUMBER							ISSUE DATE	COPY NOTE
		Lawrence	1	8	5	8	6	7	3	05/03/1929	
		DeVries, et al.	4	0	2	1	5	9	1	05/03/1977	
		Reed, et al.	4	1	0	7	3	6	5	08/15/1978	
		Morgan	4	1	6	7	4	1	4	09/11/1979	
		Lewis, et al.	4	3	5	1	8	7	1	09/28/1982	
		Young, et al.	4	3	8	3	8	7	8	05/17/1983	
		Pernicano	4	4	9	6	6	1	8	01/29/1985	
		Pernicano	4	5	1	7	2	3	7	05/14/1985	
		Pernicano	4	6	6	4	7	3	5	05/12/1987	
		Kosaka	4	7	5	7	0	4	7	07/12/1988	
		Hare	4	7	7	3	9	5	3	09/27/1988	
		Harrison, et al.	4	7	7	5	6	5	7	10/04/1988	
		Fujimura, et al.	5	0	0	6	5	0	2	04/09/1991	
		Ide, et al.	5	0	5	3	2	6	7	10/01/1991	
		Kaszczuk, et al.	5	1	3	2	2	7	7	07/21/1992	
		Roenigk, et al.	5	1	4	1	9	1	5	08/25/1992	
		Kronzer, et al.	5	2	4	2	7	3	9	09/07/1993	
		Yasuda, et al.	5	2	5	2	5	3	3	10/12/1993	
		Mima, et al.	5	2	6	3	7	8	1	11/23/1993	
		Imamura, et al.	5	2	6	4	2	7	9	11/23/1993	
		Nagashima	5	3	1	0	5	8	9	05/10/1994	
		Ueno, et al.	5	3	1	8	9	4	3	06/07/1994	
		Oldfield, et al.	5	3	3	2	7	1	3	07/26/1994	
		Ueno, et al.	5	3	5	6	8	5	3	10/18/1994	
		Brandt, et al.	5	3	6	6	2	5	1	11/22/1994	
		Campbell, et al.	5	3	8	7	5	7	4	02/07/1995	
		Sammis	5	4	1	9	9	4	4	05/30/1995	
		Imai, et al.	5	4	4	4	0	3	7	08/22/1995	
		Imai, et al.	5	5	7	1	7	6	6	11/05/1996	
		Gumbiowski, et al.	5	6	1	4	3	4	5	03/25/1997	
		Hoshino, et al.	5	6	4	7	9	3	5	07/15/1997	
		Hayashi, et al.	5	6	5	4	0	8	0	08/05/1997	
		Torii	5	6	7	7	0	4	9	10/14/1997	
		Hayashi	5	7	7	6	8	5	4	07/07/1998	
		Imamura, et al.	5	8	7	6	8	3	6	03/02/1999	



Rev. 5/92) Information Disclosure Statement List By Applicant(s) Under 37 CFR Section 1.98(a) (1) (Use several sheets if necessary)	Attorney Docket Number: KCX-680 (15049)	Serial Number: 09/614,829
	Applicant: Francis J. Kronzer	
	Filing Date: July 12, 2000 Confirmation No: 3150	Group Art Unit: 1771

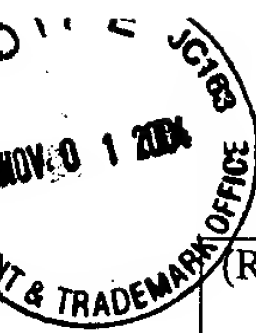
	Hayashi, et al.	5	8	8	0	0	6	5	03/09/1999	
	Hirano, et al.	5	8	8	5	9	2	8	03/23/1999	
	Simpson, et al.	5	8	9	1	8	2	4	04/06/1999	
	Peskin	5	8	9	7	7	3	5	04/27/1999	
	Hirano, et al.	5	8	9	8	0	1	8	04/27/1999	
	Hare	5	9	4	8	5	8	6	09/07/1999	
	Tada, et al.	6	0	1	7	6	3	6	01/25/2000	
	Matzinger	6	0	2	0	3	9	7	02/01/2000	
	Saito, et al.	6	0	4	3	1	9	4	03/28/2000	
	Hare, et al.	6	0	8	3	6	5	6	07/04/2000	
	Adkins	6	0	8	3	8	7	2	07/04/2000	
	Hare, et al.	6	0	8	7	0	6	1	07/11/2000	
	Hare, et al.	6	0	9	6	4	7	5	08/01/2000	
	Sato, et al.	6	1	3	9	6	7	2	10/31/2000	
	Niemoller, et al.	6	1	7	7	1	8	7	01/23/2001	
	Hoshino, et al.	6	1	8	0	2	1	9	01/30/2001	
	Nakamura	6	2	1	0	7	9	4	04/03/2001	
	Nakano, et al.	6	2	1	4	1	4	9	04/10/2001	
	Narita, et al.	6	2	3	2	2	6	8	05/15/2001	
	Hare, et al.	6	2	4	5	7	1	0	06/12/2001	
	Ueno, et al.	6	2	5	1	8	2	4	06/26/2001	
	Kronzer, et al.	6	2	6	5	0	5	3	07/24/2001	
	Popat, et al.	6	2	7	7	2	2	9	08/21/2001	
	Onishi, et al.	6	2	9	0	7	9	8	09/18/2001	
	Hare, et al.	6	2	9	4	3	0	7	09/25/2001	
	Imai, et al.	6	3	3	5	3	0	7	01/01/2002	
	Cook	6	3	4	6	3	1	3	02/12/2002	
	Hayashi, et al.	6	3	5	8	6	0	0	03/19/2002	
	Hare, et al.	6	3	8	3	7	1	0	05/07/2002	
	Imamura, et al.	6	3	9	5	3	7	5	05/28/2002	
	Hare, et al.	6	4	2	3	4	6	6	07/23/2002	
	Nakano, et al.	6	4	6	5	3	9	3	10/15/2002	
	Cross	6	4	8	2	2	8	5	11/19/2002	
	Hare, et al.	6	5	0	9	1	3	1	01/21/2003	
	Williams, et al.	6	5	3	1	2	1	6	03/11/2003	
	Cole, et al.	6	5	8	2	8	0	3	06/24/2003	
	Sargeant, et al.	6	5	9	3	4	0	6	07/15/2003	
	Dressler	6	6	1	3	4	1	2	09/02/2003	
	Suzuki, et al.	6	7	3	7	1	5	2	05/18/2004	

U.S. PATENT APPLICATION PUBLICATIONS

EXAMINER INITIALS	APPLICANT'S NAME	PUBLICATION NUMBER	PUBLICATION DATE	COPY NOTE
	Williams, et al. 2003	0 0 0 8 1 1 6	01/09/2003	
	Yamaguchi, et al. 2002	0 1 5 3 1 1 0	10/24/2002	

FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	COUNTRY	DOCUMENT NUMBER	PUBLICATION DATE	TRANSLATION			COPY NOTE
				YES	NO	N/A	



(Rev. 5/92) Information Disclosure Statement List By Applicant(s) Under 37 CFR Section 1.98(a) (1) (Use several sheets if necessary)	Attorney Docket Number: KCX-680 (15049)	Serial Number: 09/614,829
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	EP	0	6	5	2	1	1	4	B1	05/10/1995			X	
	EP	0	8	4	2	7	8	6	A1	05/20/1998			X	
	EP w/ English Abstract	0	8	5	0	7	8	6	B1	07/01/1998		X		
	EP	1	0	2	0	2	9	9	B1	07/19/2000			X	
	EP	1	2	1	9	4	6	0	A2	07/03/2002			X	
	EP	1	3	1	6	4	3	5	A1	06/04/2003			X	
	EP	1	3	4	0	6	2	6	A1	09/03/2003			X	
	EP	1	3	4	4	6	5	3	A1	09/17/2003			X	
	FR	2	4	4	2	7	2	1		06/27/1980		X		
	GB	1	4	8	7	5	9	9		10/05/1977			X	
	GB	2	0	8	4	9	3	1	A	04/21/1982			X	
	GB	2	1	4	7	6	1	4	A	05/15/1985			X	
	JP w/ English Abstract	1	2	0	8	1	9	2		088/22/1989		X		
	JP w/ English Abstract	3	0	1	0	8	7	9		01/18/1991		X		
	JP w/ English Abstract	6	1	5	5	9	9	5		06/03/1994		X		
	JP w/ English Abstract	6	3	1	2	5	7	3		11/08/1994		X		
	JP w/ English Abstract	2	0	0	3	7	7	6	52	10/02/2003		X		
	WO Corrected Version 1	0	0	5	9	7	3	3	A1	10/12/2000			X	
	WO Corrected Version 2	0	0	5	9	7	3	3	A1	10/12/2000			X	
	WO	0	0	6	4	6	8	5	A1	11/02/2000			X	
	WO w/ English Abstract	0	0	7	3	5	7	0	A1	12/07/2000		X		
	WO	0	1	0	3	9	4	1	A1	01/18/2001			X	
	WO	0	1	1	2	4	4	8	A1	02/22/2001			X	
	WO	0	1	1	7	7	9	2	A1	03/15/2001			X	
	WO	0	1	2	3	6	6	4	A1	04/05/2001			X	
	WO	0	1	6	2	5	1	4	A2 & A3	08/30/2001			X	
	WO Corrected Version	0	1	6	2	5	1	4	A3	08/30/2001			X	



(P. 5/92) Information Disclosure Statement List By Applicant(s) Under 37 CFR Section 1.98(a) (1) (Use several sheets if necessary)	Attorney Docket Number: KCX-680 (15049)	Serial Number: 09/614,829
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	WO	0	2	0	5	5	3	1	1	A2 & A3	07/18/2002			X	
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	WO Corrected Version	0	2	3	6	3	5	3	A2	05/10/2002			X		
	WO	0	3	0	0	6	7	3	6 A1	01/23/2003			X		
	WO	8	7	0	4	3	9	3	A1	07/30/1987			X		
	WO	9	0	0	0	4	7	3	A1	01/25/1990			X		
	WO w/ English Abstract	9	1	0	6	4	3	3	A1	05/15/1991		X			
	WO	9	3	2	1	5	6	1	A1	10/28/1993			X		
	WO	9	6	1	0	4	9	1	A1	04/11/1996			X		
	WO	9	7	0	1	4	4	8	A1	01/16/1997			X		
	WO	9	7	3	3	7	6	3	A2 & A3	09/18/1997			X		
	WO	9	9	2	5	9	1	7	A1	05/27/1999			X		

\*"NO" means that no copy of an English language translation is within the possession, custody, or control of, or is readily available to any individual designated in Rule 56(c).

EXAMINER INITIALS	OTHER DOCUMENTS Specify author (if any), Title, Pertinent Pages, Date & Place of Publication	COPY NOTE
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